

Compass Wealth Management, LLC Updated February 28, 2023

Facts	What Does Compass Wealth Management, LLC Do with Your Personal Information?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect can include:</p> <ul style="list-style-type: none"> ③ Social Security number Investment experience ③ Income Account transactions ③ Assets Retirement assets <p>When you are <i>no longer</i> our customer, we will continue to hold your information and share it as described in this notice.</p>	
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their customers’ personal information, the reasons Compass chooses to share personal information and whether you can limit this sharing.	
Reasons We Can Share Your Personal Information	Does Compass Share?	Can You Limit This Sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes to offer our products and services to you	No	We don’t share
For joint marketing with other financial companies	No	No

For our affiliates' everyday business purposes—information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes—information about your creditworthiness	No	We don't share
Questions?	Go to www.CompassWealthManagement.com	

Compass Wealth Management, LLC, an SEC registered investment advisor.

Who We Are	
Who is providing this notice?	Compass Wealth Management, LLC
What We Do	
How does Compass protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Compass collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Enter into an investment advisory account • Apply for insurance • Tell us about your investment or retirement portfolio • Seek advice about your investments <p>We can also collect your personal information from others such as credit bureaus, affiliates or other companies.</p>

Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies.
Non-Affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <p>Non-affiliates we may share information with include an independent representative's new brokerage or an investment advisory firm.</p>
Joint marketing	<p>A formal agreement between non-affiliates financial companies that together market financial products or services to you:</p> <p>This may include banks, credit unions or other financial institutions with which we have a joint marketing agreement</p>

Other Important Information

Information for California, North Dakota, and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

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